| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)  Attny. Docket No. 2002U023.US |                                |                        |                              |  |  |  |
|--|--------------------------------|------------------------|------------------------------|--|--|--|
| In Re Application of: Donna J. Crowther, et al.  |                                |                        |                              |  |  |  |
| U.S. Serial No. New Application  | Filing Date September 16, 2003 | Examiner<br>Unassigned | Group Art Unit<br>Unassigned |  |  |  |

Address to:

Mail Stop Patent Applications

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR § 1.97(b)  $\boxtimes$ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. 37 CFR § 1.97(c) П The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of: 1. a Final Action under § 1.113, 2. a Notice of Allowance under § 1.311, or 3. an action that otherwise closes prosecution in the application, and is accompanied by either: the fee as set forth in § 1.17(p), or the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(d)  $\Box$ The Information Disclosure Statement submitted herewith is being filed after a Final Action

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

| Applicant hereby request consideration of the submitted herewith.                                     | Information Disclosure Statement, USPTO form 1449,   |
|---|--|
| Legible copies of the references are enclosed   | l.   |
| ☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part,                         |  |
| of U.S.S.N enclosed; not enclosed. References are as  | . Copies of the cited references are vailable in the parent application(s) if not enclosed.  |
| The Commissioner is hereby authorized to char paper, or credit any overpayment, to <u>Deposit Act</u> | rge any additional fees which may be required by this count Number 50-0589.  |
| Date  | Kevin M. Faulkner Registration No. 45,427 Univation Technologies, L.L.C. 5555 San Felipe, Suite 1950 Houston, Texas 77056-2723 (713) 892-3729 Voice (713) 892-3687 Facsimile |

\*: , , **\** 

| SHEET | 1 | OF  | 1 |
|-------|---|-----|---|
| SHEEL |   | OI. |   |

|  |                                     | ATTY. DOCKET NO.   |                           | SERIAL NO.               |                   |                  |                    |  |
|--|-------------------------------------|--------------------|---------------------------|--------------------------|-------------------|------------------|--------------------|--|
|  |                                     |                    | 2002U023.US Una           |                          |                   | ssigned          |                    |  |
| INFO   | INFORMATION DISCLOSURE<br>STATEMENT |                    | APPLICANT                 |                          |                   |                  |                    |  |
|  |                                     |                    | Donna J. Crowther, et al. |                          |                   |                  |                    |  |
| (Use several sheets if necessary)  |                                     | FILING DATE        |                           | GROUP                    |                   |                  |                    |  |
|  |                                     |                    |                           | 09/16/2003               |                   | Unassigned       |                    |  |
|  | U.S. PATENT DOCUMENTS               |                    |                           |                          |                   |                  |                    |  |
| *EXAMINER<br>INITIAL   |                                     | DOCUMENT<br>NUMBER | DATE                      | NAME                     | CLASS             | SUBCLASS         | FILING<br>IF APPRO |  |
|  | AA                                  | 4,530,914          | 07/23/85                  | Ewen et al.              | 502               | 113              |                    |  |
|  | AB                                  | 5,525,678          | 07/11/96                  | Mink et al.              | 525               | 246              | ·                  |  |
|  | AC                                  | 6,034,192          | 03/07/00                  | Crowther                 | 526               | 166              |                    |  |
|  | AD                                  | 6,271,323          | 08/07/2001                | Loveday et al.           | 526               | 161              |                    |  |
|  | AE                                  | 6,339,134          | 01/15/2002                | Crowther                 | 526               | 128              |                    |  |
|  | AF                                  | 6,399,772          | 06/04/02                  | Shin et al.              | 544               | 64               | ·                  |  |
|  | AG                                  | 6,410,474          | 06/25/2002                | Nowlin et al.            | 502               | 113              |                    |  |
|  | AH                                  | 6,605,675          | 08/12/2003                | Mawson et al.            | 526               | 115              |                    |  |
|  | AI                                  | 6,608,149          | 08/19/2003                | Mawson et al.            | 526               | 60               |                    |  |
| 4  |                                     |                    | FOREIC                    | ON PATENT DOCUME         | NTS               |                  |                    |  |
|  |                                     | DOCUMENT<br>NUMBER | PUBL.<br>DATE             | COUNTRY                  | CLASS             | SUBCLASS         | TRANSLATION YES NO |  |
|  | AJ                                  | WO98/49209         | 11/05/98                  | PCT                      |                   |                  |                    |  |
|  | AK                                  | WO00/75198         | 12/14/00                  | PCT                      |                   |                  |                    |  |
|  | AL                                  |                    |                           |                          |                   |                  |                    |  |
| •  | AM                                  |                    |                           |                          |                   |                  |                    |  |
|  | AN                                  |                    |                           |                          |                   |                  |                    |  |
|  | O                                   | THER DISCLOSU      | JRES (Including           | Author, Title, Date, Per | tinent Pages of P | ublication, Etc. | )                  |  |
|  | AO                                  |                    |                           |                          |                   |                  |                    |  |
| EXAMINER   |                                     |                    |                           | DATE CONSIDERED          |                   |                  |                    |  |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line though citation if not in conformance and not considered. Include copy of this form with next comment to applicant |                                     |                    |                           |                          |                   |                  |                    |  |